Third-Party Risk Management: Latest Trends and Techniques

A two half-day course for the financial sector

A virtual classroom organised by





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Academy – Euronext Group

At Academy we aim at sharing capital markets expertise by turning it into world-class training courses and programmes.

Our programmes reflect the evolution and trends of **financial markets and their** eco-systems.

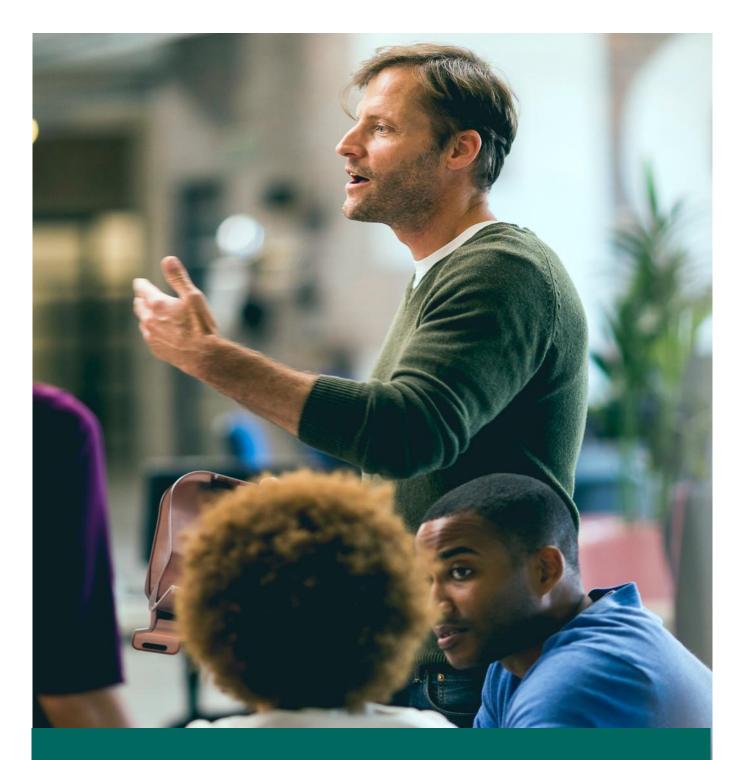
Key assets of our learning centre are our centrality in capital markets, and our internal and external faculty, whose contributors are drawn from practitioners, academics, advisors and financial market specialists.

As an Exchange system, being at the centre of financial markets, we understand not just what happens now, but also what's changing - so we can design our courses to equip you for the world of today and tomorrow.

An accredited Management **System** Certificate

Euronext Corporate Solutions Italy, where Academy sits, meets the management system Standards ISO 9001:2015, IAF 37 certified by DNV.

The accreditation guarantees internationally recognised quality service standards and allows companies to apply for public funding to finance individual and company training projects.



Upcoming courses

Compliance Officer and Integrity Manager Certification Programme 2026 edition, blended certification programme, coming soon

Check our courses calender <u>here</u>.





Programme overview

Background

Over the past decade, organizations have increased their engagement with suppliers to gain access to specialist expertise, streamline their processes, and improve productivity. At the same time, Third-party risk is now seen as a top risk for financial as well as non-financial services sector. This crucial and timely course has been structured to provide participants with practical ways of building an effective third-party framework, to manage third-party risks as well as remain at the forefront of industry developments and regulatory expectations.

The course is packed with practical tips and hints and ends with *Make A Difference* discussion, where attendees share their take-aways that will make a real difference to their organization.

Benefits of attending

Delegates attending this course will:

- learn how to build an effective third-party risk framework
- develop third-party risk appetite and monitoring KRIs
- incorporate ESG practices into third-party management
- reflect on resilience arrangements in light of DORA
- examine emerging risks in the context of TPRM
- enhance your core third-party practices
- review practical examples, case studies and industry benchmarks
- interact with fellow-practitioners and
- benchmark the maturity of approaches against peers

Who should attend

This is an essential course for all individuals responsible for implementing ESG risk management practices in their organisations or those interested to stay current and improve their ESG risk solutions, including

- Enterprise Risk Management
- Third Party Risk Management
- Vendor Risk Management
- Business Continuity
- Operational Resilience
- IT and Technology
- Regulatory professionals
- Internal Audit
- Regulators





Course Tutor

Elena Pykhova is award-winning risk expert, author, international trainer and educator. She is the founder of a boutique risk management consultancy, The OpRisk company, specializing in risk transformation, board and executive level advice on effective operational and enterprise risk management strategy, design and implementation. Elena is an author of a best-selling book, Operational Risk Management in Financial Services: A Practical Guide to Establishing Effective Solutions, with second edition issued in 2024 and translated into simple Chinese. She is a renowned educator, running public and in-house training courses in the UK and internationally for world-leading organisations including the London Stock Exchange Group Academy, Euronext, Risk.net, the Moller Center Cambridge University, and the Institute of Internal Auditors. Elena is a thought leader, influencer and founder of a prominent industry think tank, the Best Practice Operational Risk Forum. She is a former Director for Education at the Institute of Operational Risk and chair of the Expert Panel for the Association of Foreign Banks, Passionate about the discipline, she founded her training and consulting practice after 20 years of experience in senior roles at Fortune 500 companies

Methodology

Learning tools

The workshop will take place on the Zoom platform.

Learning material

The course material will be provided to the delegates in pdf format the day after the course has taken place.

Certificate of attendance

The certificate of attendance will be sent in digital format after the end of the course.





Course Agenda

29 September 2026

9:30am - 13:00am CEST

9.30 - Core Requirements and Their Practical Application

- Setting the scene: Increasing reliance on third-, fourth and n-th party service providers
- Developing third-party framework: roles and responsibilities
- Supplier vs Outsourcing: regulatory requirements
- Due diligence and risk assessment
- Identifying and managing concentration risk
- Monitoring performance levels: dynamic approach
- Practical exercise: evaluating the current state of TPRM framework
- Live poll and discussion: TPRM successes and challenges

10.30 - Building third-party Resilience

- Third parties in the context of Operational resilience and DORA
- 5-Step process to enhancing Third-party Resilience
- Business continuity planning and testing
- Use of scenario analysis to enhance resilience
- Substitutability and exit planning
- Managing increased cyber risk: crisis and incident management
- Practical exercise: Developing 3rd party testing program

11.30 Break



11.45 - Establishing Robust Risk Management

- Third-party risk in the Operational risk taxonomy
- Approach to Risk appetite and Predictive KRIs
- Practical exercise: Drafting Risk Appetite and KRIs for Third-party Risk
- Effective Board Reporting: What does good look like?
- Incorporating Third-party risks into RCSAs
- Live poll and discussion: applying risk management tools to TPRM

13.00 End of day one



30 September 2026

9:30am - 13:00am CEST

9.30 ESG and its Application to Third-**Parties**

- Recap: What are E, S and G aspects
- Deep dive: E, S and G-related factors in the context of third-parties
- Practical exercise: Evaluating current state of ESG implementation
- Live poll and discussion: ESG and TPRM

10.30 - Emerging Risks in the context of **TPRM**

- What are emerging risks?
- Guidance on how to define the horizon scanning process
- Use of scenario analysis in evaluating the impact
- Deep dive: emerging risks in the context of TPRM
 - Third parties and ESG
 - Third parties and GenAI
 - o People and Talent
- Live poll and discussion: Identifying and Managing Emerging risks

11.45 - Softer side: Developing a **Responsible Risk Culture**

- importance of Risk Culture in TPRM
- Practical ways of assessing and embedding Risk Culture
- Responsible risk culture throughout TPRM lifecycle
- Practical exercise: developing Risk Culture Action Plan
- Live poll and discussion: practical ways of embedding Risk Culture

Wrap-up and Next Steps

- Conclusions and recap of key points
- Make a Difference Discussion: key take-aways - developing individual action plan

13.00 End of course

11.30 Break





Terms and conditions

The registration form is a legally binding agreement between Euronext Corporate Solutions Italy S.r.l. and the applicant (or the company the applicant works for) and is defined by the following conditions:

Virtual classroom schedule

29 and 30 September 2026

Registration

The applicant can submit the registration form, duly filled out, to Academy 7 days prior to the course date via:

- EMAIL academy@euronext.com
- WEB Academy website

To register after this date, please contact the following phone number:

TEL +39 02 72426.086

Participation will be confirmed in writing by the Academy administration.

Registration fee

The individual registration fee is \le 980 + VAT (if applicable).

Discounts

Early bird 2 months before the course starts -10% discount

Multiple registrations - Discounts are available for multiple registrations of the same company. In particular:

- Two participants: 10% discount on the total amount
- Three participants: 15% discount on the total amount.

Payment method

Fees must be paid:

Upon receipt of the email from Academy confirming the applicant's registration (sent approximately 7 days prior to the course date)

Upon receipt of the invoice from Euronext Corporate Solutions Italy S.r.l. (Academy is part of the Euronext Corporate Solutions Italy S.r.l. Company)

Payment must be made via BANK TRANSFER to:

Euronext Corporate Solutions Italy S.r.l.

P.zza degli Affari, 6 20123 Milano

Tax Code **11734560961** VAT No. IT11734560961

Intesa San Paolo S.p.A.

P.zza Cordusio, 4 20123 Milano

IBAN - IT22 N030 6901 6261 0000 0125 565 BIC - BCITTIMM

A copy of the bank transfer must be emailed to Academy at academy@euronext.com.

An invoice will follow and will be sent to the email addresses given on the booking form.

Intellectual property rights

The Client acknowledges and agrees that the contents made available during the training (including, but not limited to, videos, pictures and texts) are property of Euronext Corporate Solutions Italy S.r.l. or are supplied by Euronext Corporate Solutions Italy S.r.l. in virtue of certain contractual arrangements with third parties and cannot be reproduced, distributed or used for business purposes without the prior written consent of Euronext Corporate Solutions Italy S.r.l. or the entity having the relevant rights on the same.

Liability

Whilst every effort is made by Euronext Corporate Solutions Italy S.r.l. to ensure that the contents of the training (including, but not limited to, videos, pictures and texts) are accurate and up to date, Euronext Corporate Solutions Italy S.r.l. shall not be liable whatsoever for any inaccuracy or misleading information, nor for any consequential damage or expense or any loss of profit or any liability to third parties incurred as a result of reliance on such information, which is provided for educational





purpose only. Euronext Corporate Solutions Italy S.r.l. total liability under this agreement shall be limited to the maximum amount represented by the Fees paid to the latter by the Client.

Traceability of financial flows

Euronext Corporate Solutions Italy S.r.l. and the Client assume all obligations regarding the traceability of the financial flows provided by Law 136/2010, as subsequently amended and implemented (the "Traceability Obligations").

In relation to the payments to be made pursuant to the present Contract, the Client, if it is a public contractor pursuant to decree law 163/2006 (Code of public contracts for works, solutions and supplies), to ensure the enforcement of Law 136/2010 and subsequent amendments, undertakes to communicate to Euronext Corporate Solutions Italy S.r.l., by the form attached to the Contract, the identification bidding code (CIG) and, in case, the unique code of project (CUP).

In particular, in fulfilling the Traceability Obligations Euronext Corporate Solutions Italy S.r.l. will communicate to the Client:

- the bank accounts details to be used, also nonexclusively, for the payments to be made by the Client pursuant to the present Contract;
- (ii) the personal details and the fiscal code of the persons delegated to operate on them, indicating the relevant role and powers, within 7 (seven) days from the creation of the accounts above (or, in case of existing accounts, within 7 (seven) days from their first use in relation to the payments made by the Client).
- (iii) Any possible amendment of the information indicated in points (i) and (ii) above, will have to be communicated by the Client within 7 (seven) days from occurrence.

Let it be understood that, save for possible derogations and partial exemptions to Law 136/2010, failure to use instruments suitable to permit the full traceability of the financial flows (for instance, bank or post transfer) and the failure to comply with any other Traceability Obligations, are ground to terminate the present Contract. Euronext Corporate Solutions Italy S.r.l. undertakes, in particular, to inform the Client and the Prefecture (territorial office of the Government of the province where the Client has its registered office) if it becomes aware of breaches by its contractual counterparties, if any, in relation to the Traceability Obligations.

Cancellation Policy

According to article 1373 C.C., to withdraw from this contract applicants must send a written notice via email to academy@euronext.com:

- The fee will be fully refundable up to 7 working days prior to course date.
- A 50% refund will be provided for cancellations made during the 6 days leading to the course,
- Fees are non refundable for cancellations made 1 day prior to the course date.

Substitution of attendee (from the same company) may be made at any time.

Academy accepts requests to replace the course with another Academy course. The rescheduled training must take place within 12 months.

Changes to the program

Euronext Corporate Solutions Italy S.r.l. reserves the right to postpone or cancel the course and notify the participant via fax or email at least 1 week before the course date; in this case, the participant is entitled only to a full refund of the course fee. In addition, Euronext Corporate Solutions Italy S.r.l. is entitled to make changes to the programme and seat of the training and/or substitute the expected panellists with others with equal skills and competence.

Governing law and jurisdiction

The present agreement shall be governed by Italian law. The parties assign to the Court of Milan the exclusive jurisdiction over any dispute arising out the present agreement, this including - but not limited to - any proceedings regarding the validity, the construction, the performance and/ or the termination of the agreement.





Booking form

REGISTRATION FEE

Please read the terms and conditions on the previuos page. Fill out the form and send email to academy@euronext.com.

For further information +39 02 72426086 - academy@euronext.com.

Third-Party Risk Management: Latest Trends and Techniques

Virtual Classroom - 29 and 30 September 2026

Individual] € 980 +	22% VAT (if applicable)
2 participants		10% discour	nt [□ € 1,764 +	22% VAT (if applicable)
3 participants		15% discour	nt [☐ € 2,499 +	22% VAT (if applicable)
Early bird by 2 months before starts	the course	10% discour	nt []€ 882+	22% VAT (if applicable)
PARTICIPANT INFOR	MATION				
Name and Surname					
Jop title					
Company					
Email		Phone			
Job Field					Knowledge level of the subject
☐ General Management	□ ICT		☐ ESG and Sustai Business	nable	□ Basic
☐ HR, Organisation and Development	□ Legal		☐ Finance		□ Intermediate
☐ Administration and Control	□ R&D		□ Risk Manageme	ent	☐ Advanced
Nature of business					
☐ Listed/unlisted company	□ Bank		☐ Advisory		□ Energy
□ Lawyer	☐ Investr	ment Bank	☐ Private Equity		☐ Funds
Company Address					
Street	N.	Zip code	City	Coun	try





BILLING INFORMATION The invoice must be addressed.						
Company name/Participant na	me and surna	ime				
VAT number	Department					
Billing reference name						
Street	N.	Zip code	City	Country		
Phone			Email			
ELECTRONIC INVOICE	(IF APPL	ICABLE)				
According to the Italian Law no. 205 of 27.12.2017 and to Provision no. 89757 of 30.4.2018 of the Italian TAX Authority and subsequent amendment and/or integrations, the Client duly holder of an Italian VAT code/Tax identification code) provides with:						
Certified Email Address (PEC)						
Or Recipient Code:						
In order to receive the electro	nic invoice thr	ough the Italiar	Exchange System	(SDI) according to the above information		
Phone		Email				
SPLIT PAYMENT (IF A	PPLICABL	.E)				
According to the art. 17-ter of the Italian D.P.R. no. 633/72 (as subsequently amended and implemented), the Client (duly holder of an Italian VAT code/Tax identification code) declares that:						
☐ is subject to the "Split Payment" VAT regime ☐ is not subject to the "Split Payment" VAT regime						
and undertakes to communicate any change of such regime.						
PRIVACY						
The data collected are necessary for Euronext Corporate Solutions ("ECS"), a subsidiary of Euronext N.V., to process your request for registration for the course/event (the "Programme") as well as to send you information about the Programme. Detailed information about the manner in which ECS collect and process your personal data and how you can exercise your rights is set out in the Privacy Statement of ECS, accessible at: Privacy Policy Euronext Corporate Solutions.						
I accept the General Terms and Conditions of the service and I declare that I have read and understood the Privacy Statement regarding the processing of personal data. *						
☐ YES						
In addition to the declaration	above, I also i	nform that:				
	means by E	CS and by the		pt of newsletters, marketing and promotional Euronext Group, to which my data will be		
□ YES	□ NO					
*Mandatory field						



Signature and company seal

Date:

Academy

Comprehensive, quality education is fundamental for the development and growth of the economy. As part of a pan-European market infrastructure at the centre of European capital markets, Academy's main objective and activity is turning capital markets expertise into world-class training courses and programmes and making them relevant to the wider financial community of today and of tomorrow. Key Academy assets are our centrality in capital markets, and our internal and external faculty, made up of practitioners, academics, advisors and financial market specialists. Interactive and innovative learning methods make all Academy programmes an inspiring experience.

Contacts

academy@euronext.com +39 02 72426 086

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