

# Nord Pool Certified Compliance Course



A training programme

Powered by



**NORD POOL**

A EURONEXT COMPANY

Live Q&A on 17 October 2024

Live Q&A on 28 November 2024



**EURONEXT  
CORPORATE SERVICES**

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## Academy – Euronext Group

At Academy we aim at sharing **capital markets expertise** by turning it into world-class training courses and programmes.

Our programmes reflect the evolution and trends of **financial markets and their eco-systems**.

Key assets of our learning centre are our centrality in capital markets, and our **internal and external faculty**, whose contributors are drawn from practitioners, academics, advisors and financial market specialists.

As an Exchange system, being at the centre of financial markets, we understand not just what happens now, but also what's changing - so we can design our courses to equip you for the world of today and tomorrow.

### An accredited Management System Certificate

Academy meets the management system standards UNI EN ISO 9001:2015, sector EA:37 since 2007.

The accreditation guarantees internationally recognised quality service standards and allows companies to apply for public funding to finance individual and company training projects.





## Programme overview

### Background

The course has been designed to provide the participants with a comprehensive understanding of how the European physical power market is regulated and to cover the many compliance features Nord Pool offers, including the UMM platform for publication of inside information and our system reporting orders and transactions to ACER.

### Who should attend

This compliance course is ideal for anyone who wishes to understand how the European physical power market is regulated:

- Traders
- Managers
- Compliance officers and staff
- System providers (e.g. trade support systems or developing algorithmic trading solutions)
- Transmission System Operators
- National Regulatory Authorities
- Power exchanges using Nord Pool as a service and system provider
- Anybody who wants to understand the finer details of the common European power market

### Faculty

The training course will be managed by the highly experienced Nord Pool Market Surveillance team.

### Logistics

Let our dedicated Market Surveillance experts share their decades-worth of experience on how to comply with the most recent laws and regulations governing the European physical power market.

#### **The Nord Pool Certified Compliance Course consists of two parts:**

1. **Online material** you cover in your own time
2. **Live Q&A session** with the Nord Pool Market Surveillance team live online. Take advantage of an exclusive Q&A session for your organization!

The course and the Live Q&A will be in **English**.

## Digital diploma

There are test questions throughout the online material. Once you have passed the test (by answering at least 90 % correctly), you will be awarded the *Nord Pool Certified Compliance Course Digital Diploma* that you can post online. You can also print out your diploma in pdf format for the office wall!

### The fee includes:

- Live Q&A session
- Copies of all presentations you will see online
- Full access to the extensive online material and test questions
- Nord Pool Certified Compliance Course Digital Diploma. We expect all participants to pass the test within a week of the live Q&A session. We will therefore issue the diploma a week after the live Q&A session.

# Compliance Course - REMIT, Transparency Regulation and the UMM Platform

## Online course agenda

### Section 1: Transparency regulation

This describes the transparency regulation and explains the different types of mandatory data to be reported and published by market participants such as generators, consumers and TSOs (Transmission System Operators).

### Section 2 : REMIT

This gives detailed and practical knowledge on the Regulation of wholesale Energy Market Integrity and Transparency (REMIT)– Europe's first set of common rules for wholesale energy markets.

### Section 3 : The REMIT Best Practice Report

The REMIT Best Practice Report was made in cooperation with stakeholders in the power market, and provide ideas and recommendations on how Market Participants can design and maintain an effective compliance regime to comply with REMIT.

### Section 4: Nord Pool's UMM Platform

Gets you familiar with the Nord Pool REMIT UMM platform for disseminating Urgent Market Messages (UMM), and how it facilitates regulatory compliance.

### Section 5: Market Monitoring and the Market Surveillance Function

This presents the market monitoring structure under REMIT, which splits the responsibility to monitor between the trading venue, the NRAs and ACER. Furthermore, we look into how Nord Pool Market Surveillance operate and monitor for breaches of REMIT.

### Section 6: Final Remarks

Additional information for those who want to go beyond the Nord Pool Certified Compliance Course curriculum.

### Live Q&A session – from 9:00 to 10:00 CET

Nord Pool Market Surveillance will host a live online session where all your questions are answered. Ask questions live or anonymously by sending them beforehand via email. Once you have registered (see below), you will get an invitation to the live session.

### Nord Pool Digital Diploma: Test of knowledge

An online test of knowledge will be made available for all participants and must be completed one week after the live training. Passing the test, the delegates will receive a digital diploma proving the successful completion of the Nord Pool Certified Compliance Course.

# Terms and conditions

The registration form is a legally binding agreement between Euronext Corporate Services Italy S.r.l. and the applicant (or the company the applicant works for) and is defined by the following conditions:

## Virtual classroom schedule

17 October 2024 – from 9:00 to 10:00 CET  
28 November 2024 – from 9:00 to 10:00 CET

## Registration

The applicant can submit the registration form, duly filled out, to Academy 7 days prior to the course date via:

- **EMAIL** [academy@euronext.com](mailto:academy@euronext.com)
- **WEB** [Academy website](#)

To register after this date, please contact the following phone number:

- **TEL +39 02 72426.086**

Participation will be confirmed in writing by the Academy administration.

## Registration fees

The individual registration fee to the course for **NON-Nord Pool members is € 1,000 (+VAT if applicable).**

The individual registration fee to the course for **Nord Pool members is € 750 (+ VAT if applicable).**

The fee includes all presentations, online material and test.

## Payment method

Fees must be paid:

- Upon receipt of the email from Academy confirming the applicant's registration (sent approximately 7 days prior to the course date)

or

- Upon receipt of the invoice from Euronext Corporate Services Italy S.r.l. (Academy is part of the Euronext Corporate Services Italy S.r.l. Company)

Payment must be made via **BANK TRANSFER** to:

**Euronext Corporate Services Italy S.r.l.**

P.zza degli Affari, 6

20123 Milano

Tax Code **11734560961**

VAT No. **IT11734560961**

**Intesa San Paolo S.p.A.**

P.zza Cordusio, 4

20123 Milano

IBAN - **IT22 N030 6901 6261 0000 0125 565**

BIC - **BCITITMM**

Copy of the effected bank transfer must be emailed to Academy [academy@euronext.com](mailto:academy@euronext.com).

Invoice marked as paid will be mailed to the addresses indicated on the wire transfer.

## Intellectual property rights

The Client acknowledges and agrees that the contents made available during the training (including, but not limited to, videos, pictures and texts) are property of Euronext Corporate Services Italy S.r.l. or are supplied by Euronext Corporate Services Italy S.r.l. in virtue of certain contractual arrangements with third parties and cannot be reproduced, distributed or used for business purposes without the prior written consent of Euronext Corporate Services Italy S.r.l. or the entity having the relevant rights on the same.

## Liability

Whilst every effort is made by Euronext Corporate Services Italy S.r.l. to ensure that the contents of the training (including, but not limited to, videos, pictures and texts) are accurate and up to date, Euronext Corporate Services Italy S.r.l. shall not be liable whatsoever for any inaccuracy or misleading information, nor for any consequential damage or expense or any loss of profit or any liability to third parties incurred as a result of reliance on such information, which is provided for educational purpose only. Euronext Corporate Services Italy S.r.l. total liability under this agreement shall be limited to the



maximum amount represented by the Fees paid to the latter by the Client.

## Traceability of financial flows

Euronext Corporate Services Italy S.r.l. and the Client assume all obligations regarding the traceability of the financial flows provided by Law 136/2010, as subsequently amended and implemented (the "Traceability Obligations").

In relation to the payments to be made pursuant to the present Contract, the Client, if it is a public contractor pursuant to decree law 163/2006 (Code of public contracts for works, services and supplies), to ensure the enforcement of Law 136/2010 and subsequent amendments, undertakes to communicate to Euronext Corporate Services Italy S.r.l., by the form attached to the Contract, the identification bidding code (CIG) and, in case, the unique code of project (CUP).

In particular, in fulfilling the Traceability Obligations Euronext Corporate Services Italy S.r.l. will communicate to the Client:

- (i) the bank accounts details to be used, also nonexclusively, for the payments to be made by the Client pursuant to the present Contract;
- (ii) the personal details and the fiscal code of the persons delegated to operate on them, indicating the relevant role and powers, within 7 (seven) days from the creation of the accounts above (or, in case of existing accounts, within 7 (seven) days from their first use in relation to the payments made by the Client).
- (iii) Any possible amendment of the information indicated in points (i) and (ii) above, will have to be communicated by the Client within 7 (seven) days from occurrence.

Let it be understood that, save for possible derogations and partial exemptions to Law 136/2010, failure to use instruments suitable to permit the full traceability of the financial flows (for instance, bank or post transfer) and the failure to comply with any other Traceability Obligations, are ground to terminate the present Contract.

Euronext Corporate Services Italy S.r.l. undertakes, in particular, to inform the Client and the Prefecture

(territorial office of the Government of the province where the Client has its registered office) if it becomes aware of breaches by its contractual counterparties, if any, in relation to the Traceability Obligations.

## Cancellation Policy

According to article 1373 C.C., to withdraw from this contract applicants must send a written notice via email to [academy@euronext.com](mailto:academy@euronext.com):

- The fee will be fully refundable up to 7 working days prior to course date.
- A 50% refund will be provided for cancellations made during the 6 days leading to the course,
- Fees are non refundable for cancellations made 1 day prior to the course date.

Substitution of attendee (from the same company) may be made at any time.

Academy accepts requests to replace the course with another Academy course. The rescheduled training must take place within 12 months.

## Changes to the program

Euronext Corporate Services Italy S.r.l. reserves the right to postpone or cancel the course and notify the participant via fax or email at least 1 week before the course date; in this case, the participant is entitled only to a full refund of the course fee. In addition, Euronext Corporate Services Italy S.r.l. is entitled to make changes to the programme and seat of the training and/or substitute the expected panellists with others with equal skills and competence.

## Governing law and jurisdiction

The present agreement shall be governed by Italian law. The parties assign to the Court of Milan the exclusive jurisdiction over any dispute arising out the present agreement, this including – but not limited to – any proceedings regarding the validity, the construction, the performance and/ or the termination of the agreement.

## Booking form

Please read the terms and conditions on the preceding page.

Fill out the form and send email to [academy@euronext.com](mailto:academy@euronext.com).

For further information +39 02 72426086 – [academy@euronext.com](mailto:academy@euronext.com)

### Nord Pool Certified Compliance Course

- Live Q&A Session – 17 October 2024, 9.00 - 10.00 CEST
- Live Q&A Session – 28 November 2024, 9.00 - 10.00 CET

#### REGISTRATION FEE

Individual for NON-members  € 1,000 + VAT (if applicable)

Individual for Nord Pool members and stakeholders  € 750 + VAT (if applicable)

#### PARTICIPANT INFORMATION

Name and Surname

Jop title

Company

Email

Phone

##### Job Field

General Management

ICT

ESG and Sustainable Business

Basic

HR, Organisation and Development

Legal

Finance

Intermediate

Administration and Control

R&D

Risk Management

Advanced

##### Nature of business

Listed/unlisted company

Bank

Advisory

Energy

Lawyer

Investment Bank

Private Equity

Funds

##### Company Address

Street

N.

Zip code

City

Country

## BILLING INFORMATION

The invoice must be addressed to:

Company name/Participant name and surname

VAT number

Department

Billing reference name

Street

N.

Zip code

City

Country

Phone

Email

## ELECTRONIC INVOICE (IF APPLICABLE)

According to the Italian Law no. 205 of 27.12.2017 and to Provision no. 89757 of 30.4.2018 of the Italian TAX Authority and subsequent amendment and/or integrations, the Client duly holder of an Italian VAT code/Tax identification code) provides with:

Certified Email Address (PEC):

Or Recipient Code:

In order to receive the electronic invoice through the Italian Exchange System (SDI) according to the above information

**Phone**

**Email**

## SPLIT PAYMENT (IF APPLICABLE)

According to the art. 17-ter of the Italian D.P.R. no. 633/72 (as subsequently amended and implemented), the Client (duly holder of an Italian VAT code/Tax identification code) declares that:

is subject to the "Split Payment" VAT regime     is not subject to the "Split Payment" VAT regime

and undertakes to communicate any change of such regime.

## PRIVACY

By signing this booking form I declare that I have read the informative document regarding the processing of personal data on the Euronext Corporate Services's website ([Privacy Policy | Euronext Corporate Services](#)) and the Nord Pool's website ([Privacy Policy | Nord Pool](#)) and I accept the General Terms and Conditions of the service.

I have noted that this training programme is organized and distributed respectively by Nord Pool and Academy – Euronext Group as partners. In order to register to this training, each party will need to process my Personal Information as Data Controllers for the following purposes:

- Academy – Euronext Group: managing the delegates registrations and administration and invoicing processes
- Nord Pool: managing the communications with the delegates regarding joining instructions to the course, available material included in the programme and the final diploma.

As such, I agree that Academy – Euronext Group shares my Personal Information with Nord Pool in order for me to get a complete access to the training course.

In addition to the declaration above, by ticking the relevant box I also inform that (*please tick the chosen box*):

I DO

I DO NOT

authorize Euronext Corporate Services to collect and to process my personal data for the purpose of "direct marketing", by sending advertising material, direct sales and promotion of products and services of Euronext Corporate Services, carrying out market research, marketing communications, also using data subject's name for publicity carried out through automated means of contact such as e-mail, fax messages, mms or sms.

I DO I DO NOT

authorize the communication/the transfer of the personal data for the purposes of direct marketing by third parties - even outside the European Community - included the other companies of the Euronext Group, as well as third parties referred to in point c) of the informative document regarding the processing of personal data ([Privacy Policy | Euronext Corporate Services](#)).

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**Signature and company seal**

**Date:**

## Academy

Comprehensive, quality education is fundamental for the development and growth of the economy. As part of a pan-European market infrastructure at the centre of European capital markets, Academy's main objective and activity is turning capital markets expertise into world-class training courses and programmes and making them relevant to the wider financial community of today and of tomorrow. Key Academy assets are our centrality in capital markets, and our internal and external faculty, made up of practitioners, academics, advisors and financial market specialists. Interactive and innovative learning methods make all Academy programmes an inspiring experience.

## Contacts

academy@euronext.com  
+39 02 72426 086



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