

# Empowering Boards for Digital Operational Resilience Success

A practical session to help Executive Managers and Board Members in financial services demystify **DORA** (Digital Operational Resilience Act)

I am a subtitle

A virtual classroom organised by



27 September 2024



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## Academy – Euronext Group

At Academy we aim at sharing **capital markets expertise** by turning it into world-class training courses and programmes.

Our programmes reflect the evolution and trends of **financial markets and their eco-systems**.

Key assets of our learning centre are our centrality in capital markets, and our **internal and external faculty**, whose contributors are drawn from practitioners, academics, advisors and financial market specialists.

As an Exchange system, being at the centre of financial markets, we understand not just what happens now, but also what's changing - so we can design our courses to equip you for the world of today and tomorrow.

### An accredited Management System Certificate

Academy meets the management system standards UNI EN ISO 9001:2015, sector EA:37 since 2007.

The accreditation guarantees internationally recognised quality service standards and allows companies to apply for public funding to finance individual and company training projects.





**Upcoming  
courses**

## **Capital Markets Compliance Certification Programme**

September – December 2024, Blended course

*Check our courses calendar [here](#).*

## Programme overview

### Background

The EU has agreed a Regulation on Digital Operational Resilience (“DORA”) in the EU financial sector. DORA is designed to consolidate and upgrade ICT risk requirements to ensure that all participants of the financial system are subject to a common set of rules and standards in order for them to put in place the necessary safeguards to mitigate cyber-attacks and other risks. Moreover, the legislation will require firms to ensure that they can withstand all types of ICT-related disruptions and threats.

DORA covers a broad range of financial institutions, including credit institutions, payment institutions, e-money institutions, investment firms, crypto-asset service providers, central securities depositories, managers of alternative investment funds (AIFMs), UCITS management companies, administrators of critical benchmarks, crowdfunding service providers, and ICT third-party service providers. Many companies that have not previously been subject to specific ICT regulations are within the scope of DORA.

The Regulation will apply from 17 January 2025.

### Objectives and key take aways

The half-day course will equip Executive Directors and Board Members with a wider knowledge of DORA Regulation and in particular:

- scope and main objectives
- key components
- DORA breaches and consequences
- its impacts on the compliance framework and organisational duties
- the new responsibilities for Board members

### Who should attend

The course is designed to the benefit for:

- C-Suite
- Board Members
- CISOs
- Risk Officers

of the wide EU financial services sector.

## Faculty

**Alessandro del Ninno**  
Partner  
**5 FIVELEX Studio Legale**

**Paulo Carvalho Martins**  
Group CISO  
**Euronext Group**

## Methodology

### Learning tools

The workshop will take place on Teams.

### Learning material

The course material will be provided to the delegates in pdf format the day after the course has taken place.

### Certificate of attendance

The certificate of attendance will be sent in digital format after the end of the course.

## Course Agenda

**27 September 2024**

**9:30 am – 1:00 pm CEST**

**9:30am**

### **Why a Digital Operational Resilience Act (DORA) and when?**

- Definition and scope of DORA
- Overview of the main objectives of DORA
- The DORA implementation timeline: the role of the Regulatory Technical Standards by the ESAs

**Alessandro del Ninno**

Partner

**5 FIVELEX Studio Legale**

**9:50am**

### **What – the key components of DORA**

- ICT risk management and third-party risk management
- ICT-related incidents
- Information sharing
- Oversight of critical third-party providers
- DORA breach: administrative and criminal penalties and other remedial measures

**Alessandro del Ninno**

**10:15am**

### **The Compliance framework and the impact on the organisation**

- How DORA will impact the Compliance framework: new roles and tasks introduced by DORA within the organizational charts of the financial entities.
- ICT security awareness programmes and digital operational resilience training as compulsory modules in the financial entities' staff training schemes

**Alessandro del Ninno**

**10:45am - Coffee break**

**11:00am**

### **Understanding the new responsibilities for Board Members under DORA**

The practical impact of the the principle of the management body's full and ultimate responsibility for the management of the ICT risk of the financial entity

**Alessandro del Ninno**

**12:00pm**

### **CASE STUDY: Making your business fit for the future in the digital age**

- CISO's role in the boardroom
- Information Security Governance at Euronext: connecting IT, Risk, Compliance and the Board
- Key questions raised by the board
- IT risk mapping and their connection with the company's strategic objectives

**Paulo Carvalho Martins**

Group CISO

**Euronext Group**

**1:00pm - Wrap up & session close**

# Terms and conditions

The registration form is a legally binding agreement between Euronext Corporate Services Italy S.r.l. and the applicant (or the company the applicant works for) and is defined by the following conditions:

## Virtual classroom schedule

27 September 2024 | 9:30am – 1:00pm CEST

## Registration

The applicant can submit the registration form, duly filled out, to Academy 7 days prior to the course date via:

- **EMAIL** [academy@euronext.com](mailto:academy@euronext.com)
- **WEB** [Academy website](#)

To register after this date, please contact the following phone number:

- **TEL +39 02 72426.086**

Participation will be confirmed in writing by the Academy administration.

## Registration fee

The individual registration fee is € 650 + 22% VAT (if applicable).

The fee includes the course materials in pdf format.

## Discounts

**Multiple registrations** - Discounts are available for multiple registrations of the same company. In particular:

- Two participants: 10% discount on the total amount
- Three participants: 15% discount on the total amount

## Payment method

Fees must be paid:

- Upon receipt of the email from Academy confirming the applicant's registration (sent approximately 7 days prior to the course date)

or

- Upon receipt of the invoice from Euronext Corporate Services Italy S.r.l. (Academy is part of the Euronext Corporate Services Italy S.r.l. Company)

Payment must be made via **BANK TRANSFER** to:

### **Euronext Corporate Services Italy S.r.l.**

P.zza degli Affari, 6

20123 Milano

Tax Code **11734560961**

VAT No. **IT11734560961**

### **Intesa San Paolo S.p.A.**

P.zza Cordusio, 4

20123 Milano

IBAN - **IT22 N030 6901 6261 0000 0125 565**

BIC - **BCITITMM**

A copy of the bank transfer must be emailed to Academy at [academy@euronext.com](mailto:academy@euronext.com).

An invoice will follow and will be sent to the email addresses given on the booking form.

## Intellectual property rights

The Client acknowledges and agrees that the contents made available during the training (including, but not limited to, videos, pictures and texts) are property of Euronext Corporate Services Italy S.r.l. or are supplied by Euronext Corporate Services Italy S.r.l. in virtue of certain contractual arrangements with third parties and cannot be reproduced, distributed or used for business purposes without the prior written consent of Euronext Corporate Services Italy S.r.l. or the entity having the relevant rights on the same.

## Liability

Whilst every effort is made by Euronext Corporate Services Italy S.r.l. to ensure that the contents of the training (including, but not limited to, videos, pictures and texts) are accurate and up to date, Euronext Corporate Services Italy S.r.l. shall not be liable whatsoever for any inaccuracy or misleading information, nor for any consequential damage or expense or any loss of profit or any liability to third parties incurred as a result of reliance



on such information, which is provided for educational purpose only. Euronext Corporate Services Italy S.r.l. total liability under this agreement shall be limited to the maximum amount represented by the Fees paid to the latter by the Client.

## Traceability of financial flows

Euronext Corporate Services Italy S.r.l. and the Client assume all obligations regarding the traceability of the financial flows provided by Law 136/2010, as subsequently amended and implemented (the "Traceability Obligations").

In relation to the payments to be made pursuant to the present Contract, the Client, if it is a public contractor pursuant to decree law 163/2006 (Code of public contracts for works, services and supplies), to ensure the enforcement of Law 136/2010 and subsequent amendments, undertakes to communicate to Euronext Corporate Services Italy S.r.l., by the form attached to the Contract, the identification bidding code (CIG) and, in case, the unique code of project (CUP).

In particular, in fulfilling the Traceability Obligations Euronext Corporate Services Italy S.r.l. will communicate to the Client:

- (i) the bank accounts details to be used, also nonexclusively, for the payments to be made by the Client pursuant to the present Contract;
- (ii) the personal details and the fiscal code of the persons delegated to operate on them, indicating the relevant role and powers, within 7 (seven) days from the creation of the accounts above (or, in case of existing accounts, within 7 (seven) days from their first use in relation to the payments made by the Client).
- (iii) Any possible amendment of the information indicated in points (i) and (ii) above, will have to be communicated by the Client within 7 (seven) days from occurrence.

Let it be understood that, save for possible derogations and partial exemptions to Law 136/2010, failure to use instruments suitable to permit the full traceability of the financial flows (for instance, bank or post transfer) and the failure to comply with any other Traceability Obligations, are ground to terminate the present Contract.

Euronext Corporate Services Italy S.r.l. undertakes, in particular, to inform the Client and the Prefecture (territorial office of the Government of the province where the Client has its registered office) if it becomes aware of breaches by its contractual counterparties, if any, in relation to the Traceability Obligations.

## Cancellation Policy

According to article 1373 C.C., to withdraw from this contract applicants must send a written notice via email to [academy@euronext.com](mailto:academy@euronext.com):

- The fee will be fully refundable up to 7 working days prior to course date.
- A 50% refund will be provided for cancellations made during the 6 days leading to the course,
- Fees are non refundable for cancellations made 1 day prior to the course date.

Substitution of attendee (from the same company) may be made at any time.

Academy accepts requests to replace the course with another Academy course. The rescheduled training must take place within 12 months.

## Changes to the program

Euronext Corporate Services Italy S.r.l. reserves the right to postpone or cancel the course and notify the participant via fax or email at least 1 week before the course date; in this case, the participant is entitled only to a full refund of the course fee. In addition, Euronext Corporate Services Italy S.r.l. is entitled to make changes to the programme and seat of the training and/or substitute the expected panellists with others with equal skills and competence.

## Governing law and jurisdiction

The present agreement shall be governed by Italian law. The parties assign to the Court of Milan the exclusive jurisdiction over any dispute arising out the present agreement, this including – but not limited to – any proceedings regarding the validity, the construction, the performance and/ or the termination of the agreement.

## Booking form

Please read the terms and conditions on the previous page.

Fill out the form and send email to [academy@euronext.com](mailto:academy@euronext.com).

For further information +39 02 72426086 – [academy@euronext.com](mailto:academy@euronext.com)

### Empowering Boards for Digital Operational Resilience Success

Virtual Classroom – 27 September 2024

#### REGISTRATION FEE

Individual		<input type="checkbox"/> € 650 + 22% VAT (if applicable)
2 participants	<b>10% discount</b>	<input type="checkbox"/> € 1,170 + 22% VAT (if applicable)
3 participants	<b>15% discount</b>	<input type="checkbox"/> € 1,657 + 22% VAT (if applicable)

#### PARTICIPANT INFORMATION

Name and Surname

Jop title

Company

Email

Phone

Job Field			Knowledge level of the subject
<input type="checkbox"/> General Management	<input type="checkbox"/> ICT	<input type="checkbox"/> ESG and Sustainable Business	<input type="checkbox"/> Basic
<input type="checkbox"/> HR, Organisation and Development	<input type="checkbox"/> Legal	<input type="checkbox"/> Finance	<input type="checkbox"/> Intermediate
<input type="checkbox"/> Administration and Control	<input type="checkbox"/> R&D	<input type="checkbox"/> Risk Management	<input type="checkbox"/> Advanced

#### Nature of business

<input type="checkbox"/> Listed/unlisted company	<input type="checkbox"/> Bank	<input type="checkbox"/> Advisory	<input type="checkbox"/> Energy
<input type="checkbox"/> Lawyer	<input type="checkbox"/> Investment Bank	<input type="checkbox"/> Private Equity	<input type="checkbox"/> Funds

#### Company Address

Street                                      N.                      Zip code                      City                                      Country

**BILLING INFORMATION****The invoice must be addressed to:**

Company name/Participant name and surname

VAT number

Department

Billing reference name

Street

N.

Zip code

City

Country

Phone

Email

**ELECTRONIC INVOICE (IF APPLICABLE)**

According to the Italian Law no. 205 of 27.12.2017 and to Provision no. 89757 of 30.4.2018 of the Italian TAX Authority and subsequent amendment and/or integrations, the Client duly holder of an Italian VAT code/Tax identification code) provides with:

Certified Email Address (PEC):

Or Recipient Code:

In order to receive the electronic invoice through the Italian Exchange System (SDI) according to the above information

**Phone****Email****SPLIT PAYMENT (IF APPLICABLE)**

According to the art. 17-ter of the Italian D.P.R. no. 633/72 (as subsequently amended and implemented), the Client (duly holder of an Italian VAT code/Tax identification code) declares that:

 is subject to the "Split Payment" VAT regime  is not subject to the "Split Payment" VAT regime

and undertakes to communicate any change of such regime.

**PRIVACY**

By signing this booking form I declare that I have read the informative document regarding the processing of personal data on the Euronext Corporate Services's website ([Privacy Policy | Euronext Corporate Services](#)) and I accept the General Terms and Conditions of the service.

In addition to the declaration above, by ticking the relevant box I also inform that (*please tick the chosen box*): I DO I DO NOT

authorize Euronext Corporate Services to collect and to process my personal data for the purpose of "direct marketing", by sending advertising material, direct sales and promotion of products and services of Euronext Corporate Services, carrying out market research, marketing communications, also using data subject's name for publicity carried out through automated means of contact such as e-mail, fax messages, mms or sms.

 I DO I DO NOT

authorize the communication/the transfer of the personal data for the purposes of direct marketing by third parties - even outside the European Community - included the other companies of the Euronext Group, as well as third parties referred to in point c) of the informative document regarding the processing of personal data ([Privacy Policy | Euronext Corporate Services](#)).

**Signature and company seal****Date:**

## Academy

Comprehensive, quality education is fundamental for the development and growth of the economy. As part of a pan-European market infrastructure at the centre of European capital markets, Academy's main objective and activity is turning capital markets expertise into world-class training courses and programmes and making them relevant to the wider financial community of today and of tomorrow. Key Academy assets are our centrality in capital markets, and our internal and external faculty, made up of practitioners, academics, advisors and financial market specialists. Interactive and innovative learning methods make all Academy programmes an inspiring experience.

## Contacts

academy@euronext.com  
+39 02 72426 086



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